On contribution of horizontal and intra-layer convection to the formation of the Baltic Sea cold intermediate layer

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Received: 7 October 2008 – Published in Ocean Sci. Discuss.: 24 November 2008
Revised: 11 January 2010 – Accepted: 11 February 2010 – Published: 26 February 2010

Abstract. Seasonal cascades down the coastal slopes and intra-layer convection are considered as the two additional mechanisms contributing to the Baltic Sea cold intermediate layer (CIL) formation along with conventional seasonal vertical mixing. Field measurements are presented, reporting for the first time the possibility of denser water formation and cascading from the Baltic Sea underwater slopes, which take place under fall and winter cooling conditions and deliver waters into intermediate layer of salinity stratified deep-sea area. The presence in spring within the CIL of water with temperature below that of maximum density (Tmd) and that at the local surface in winter time allows tracing its formation: it is argued that the source of the coldest waters of the Baltic CIL is early spring (March–April) cascading, arising due to heating of water before reaching the Tmd. Fast increase of the open water heat content during further spring heating indicates that horizontal exchange rather than direct solar heating is responsible for that. When the surface is covered with water, heated above the Tmd, the conditions within the CIL become favorable for intralayer convection due to the presence of waters of Tmd in intermediate layer, which can explain its well-known features – the observed increase of its salinity and deepening with time.

1 Introduction

In the Baltic Sea, with its mean depth of about 50 m (Hydrometeorology and hydrochemistry...; HH92, Hagen and Feistel, 2007), seasonal vertical convection in winter reaches the depths of 40 m (at the SW) to 60 m (at the NE). This means, that over ca. 60% of the area it reaches the bottom (Fig. 1). Over variable bottom topography, shallow areas cool faster than deeper ones, resulting in more or less permanent horizontal gradients of temperature/density and subsequent cool down-slope currents. In the beginning of the cooling process in autumn, the flow follows day/night rhythm and is intermittent, characterized by “slugs” of colder water, moving one-by-one from the shore, and plunging underneath the upper mixed layer, into the thermocline – to meet the level of the corresponding density (see, for example, Fer et al., 2002a). This seasonal horizontal convective exchange is shown to be a very efficient mechanism of mixing in lakes and oceans (Farrow, 2004; Fer et al., 2002b; Imboden and Wüst, 1995; Sturman et al., 1999; Killworth, 1977; Bennett, 1971; Thomsen et al., 2001); however, to the authors’ knowledge, it was not yet observed in or applied for the conditions of the Baltic Sea. Being physically similar to the cascading in lakes, the process in sea environment is influenced by vertical and horizontal haline stratification. Sharing features with the oceanic “winter cascading from the shelf” (e.g., Killworth, 1977; Jacobs and Ivey, 1998), the cascading in the Baltic Sea is more complicated because twice per year the water temperature crosses the temperature of maximum density (Tmd), which never happens in saline oceanic water. At the same time, similar to fresh-water Lake Baikal, deep waters in the Baltic remain always above the Tmd due to their higher salinity, whilst the upper layer is cooled down to the freezing point (Shimaraev and Granin, 1991; Wüst et al., 2005). In spite of all the differences, application of knowledge about oceanic and lake processes can shed some light onto the situation in the Baltic Sea.

The Baltic Sea water body is typically layered (HH92; Hagen and Feistel, 2007; State and Evolution of the Baltic Sea...; 2008, hereinafter – SEBS2008): relatively fresh (due to river discharges and atmospheric precipitation) upper layer (down to 10–70 m in different seasons), cold intermediate layer (down to 30–70 m), and saline and warm deep layer of oceanic origin below 40–70 m. The Cold Intermediate Layer (CIL), or Baltic Winter Water (BWW; Hagen and
Two opinions are commonly expressed in this regard: (i) CIL is the remnant of the autumn-winter vertical convection, capped by heated lighter water in summer; (ii) CIL is advected horizontally to deep parts of the Baltic Proper from its northern areas – the Bothnian Sea or the Bay of Finland. The first hypothesis explains why CIL is so fresh (oxygenated), however, does not explain why it is colder than the winter surface (e.g., monthly mean temperature – averaged over 30 years – in the Bornholm basin in March is 1.53 °C at the surface and only 1.5 °C at the depth of 30 m; HH92), and why it is 0.1–0.4 saltier than the surface water (HH92). The second point of view, explaining the fact of coldness, fails to provide any reasons for heightened oxygen and salt content. Indeed, waters in the northern Baltic are less saline than in the Baltic Proper, and their way from so distant regions (many hundreds of kilometres) with the speed of about 6–9 cm s⁻¹ (reported for the intermediate layer; HH92) should last for about a year, so that the oxygenation would be much lower and at least its gradual decrease from north-east to south-west would be formed. But it is definitely not observed: waters of CIL in the Bornholm deep are as oxygenated as in Gotland deep or everywhere further north. This indicates that these waters were at the surface roughly at the same time. We consider here the possibility of generation of these waters at the bottom slopes of neighbouring shallow areas, as a result of seasonal differential cooling/heating process.

We consider in this paper two additional mechanisms of convective nature which contribute to the formation of the CIL, and provide arguments that they are capable of the formation of such volumes of cold, saline and oxygenated water. These mechanisms are the horizontal convection, leading to a seasonal cascading from the slopes both in autumn and in spring in the Baltic Sea conditions, and a sort of a thermobaric instability, leading to intra-layer convection. We discuss only kinematics of the process, general structure of the water exchange, volumes and flowrates of the generated waters, and do not actually touch their dynamics. With such scales of motion, the Earth’s rotation must become of importance, and some possible consequences are briefly discussed.

We consider the contribution of horizontal and intalayer convection in that order how they work in seasonal circle. First, the phenomenon of autumn-winter cascading from slopes (typically – September–January) is described on the base of field data, laboratory and numerical investigations. Second, spring cascading in the Baltic sea conditions is considered, when waters are heated from their minimum temperatures up to the Tmd (typically – in March–April; see Chubarenko and Demchenko, 2008, for details of physical mechanism of denser water cascading due to heating of water with $T<T_{md}$ in a basin with inclined bottom). Third, a process of further spring heating is analyzed, combining numerical data and field evidence on how the layer with water temperature below the Tmd is covered with advected warmer waters, detaching the CIL from the atmosphere and providing the conditions for intralayer convection due to the presence of Tmd (usually May–August).
2 Autumn cooling and cascading down slopes in the Baltic Sea conditions

The cascading process is typically not believed to significantly influence the CIL formation because of several reasons. Before the all, coastal waters are usually more fresh, so that even lower temperature does not cause a density increase sufficient to slide down to the thermocline level. Second, the motions are driven by a very small horizontal density differences, so that wind-wave mixing may overshadow the convective flows. With these questions in mind, we analyze in this section (i) general structure of horizontal exchange due to cascading process and (ii) field data of an expedition to the Gdansk bay slopes. The conclusion is that horizontal water-exchange due to differential coastal cooling works in the Baltic Sea environment as well.

2.1 Autumn and winter cascading

The mechanism of generation of down-slope cascades is as follows (e.g., Fer et al.; 2002a, b; Horsh et al., 1994 and many others). In autumn, seasonal decrease of air temperature and difference between land and water-cooling rates cause a change in thermal regime of littoral waters (Forel, 1880; Mortimer, 1974). Instead of coastal water heating, observed typically in spring and summer periods, a differential coastal cooling develops in littoral lake/sea area. Cooling from the surface causes vertical thermo-gravitational convection in both deep and shallow areas. Because of the restricted water depth, most shallow and semi-enclosed areas cool faster than deeper ones. This leads to a permanent formation of slow colder currents down the bottom slope towards the abyss, which sink down to the level of the corresponding density, underneath the mixed upper layer (Brooks et al., 1972; Imboden and Wüest, 1995; Britter et al., 1980; Garrett, 1991; Zhmur and Yakubenko, 2001; Fer et al., 2002b; Chubarenko and Hutter, 2005). The currents are driven by small density differences ($\Delta \rho/\sim 10^{-4} - 10^{-5}$), but their flow-rate increases with down-slope distance, because of both entrainment and supply of additional portions of water cooled at the surface further offshore. Even though it was pointed out (Bennett, 1971; Carmack, 1979) that thermally induced seasonal horizontal mixing can be a very important mechanism of matter and energy transport in lakes and inland seas with large shoals, not long ago it was still generally assumed that they contribute mainly to the mixing in the littoral area (Browand et al., 1987; Ellison and Turner, 1959).

Field investigations of last decades, however, have demonstrated both common presence of such cold-water cascades and “slugs” and their efficiency in horizontal transport and mixing, and obvious influence onto the basin-wide water dynamics in large lakes and inland seas (Fer et al., 2002; Horsh et al., 1994; Leaman and Schott, 1991). In oceans, it has been proved already by field measurements that the intermediate and deep layers are formed by winter time surface cooling or brine rejection due to ice production at high latitudes (e.g., Foster et al., 1976; Killworth et al., 1977; Meincke, 1978; Thorpe and White, 1988; Leaman et al., 1991; Thomsen et al., 2001).

Previous studies (e.g., Farrow, 2004; Horsh et al., 1994; Sturman et al., 1999) have demonstrated the complexity of horizontal convective exchange flows in a basin with sloping...
bottom. The flow pattern is a combination of vertical convective cells, down-slope density undercurrent and horizontal compensating flow (e.g., Chubarenko et al., 2005; CD2008), see Fig. 1. The most extensive field studies of seasonal cascading were performed in lakes (Fer et al., 2002a, b; Farrow and Patterson, 1993; Horsh et al., 1994; Sturman et al., 1999). All the observers point out the intermittent character of the flow and the “convective” behaviour of the horizontal water-exchange pattern.

Thus, if the goal is to estimate general structure of water-exchange and its overall influence, one should consider the large-scale features rather than instant flow characteristics. The situation is much alike with the Earth rotation: the Coriolis force is small, but its influence is basin-wide. This way, coastal differential cooling/heating manifests itself in all the monthly averaged surface temperature maps (see, for example, HH92, SEBS2008 for the Baltic sea), even though particular day/night or synoptic-scale pictures may be different. Still the principal question remains open: how the horizontal convective exchange is structured in sea environment, where coastal waters are often fresher than sea waters?

2.2 Expedition

2.2.1 Area description and environmental forcing

In order to investigate distinctive features of water exchange, generated by differential cooling in the Baltic Sea coastal zone, an expedition was carried out by Laboratory for Coastal Systems Study of AB SIO and Department of Oceanography of Im. Kant State University (Kalinigrad) on 11–13 October 2006 in coastal zone of the Gulf of Gdansk (see Fig. 3 for the map). Weather conditions were rather favourable. Almost calm and cold (11–12°C) night before, very low wind during the measurements (from 0 at the beginning to 5 ms⁻¹ to the end), day time air temperatures of 12–14°C had provided a well pronounced surface cooling conditions for sea waters of temperature of about 16°C. The overall cross-shore horizontal water temperature decrease amounted to 0.7°C/18 km, including 0.2°C – colder lens near the shore (see Figs. 4 and 5). With the observed air-water temperature difference of 1.2–4.3°C and for wind speed of 5 ms⁻¹, an estimate for the value of the turbulent heat-exchange is $F \sim 10–30$ W m⁻². Solar radiation during the entire day summed up to 90 Joule m⁻², and permanent cloudiness had smoothed its variation with time of the day. The resulting negative buoyancy flux $B = \frac{g \alpha F}{\rho_0 C_p}$ had an order of $\sim 10^{-8}$ m² s⁻³ during the measurements (from 0900 to 1800). Here, $\alpha = -1/\rho_0 \times \partial \rho/\partial T$ is water thermal expansion coefficient, which is about $\alpha \sim (1.72–1.79) \times 10^{-4}$ °C⁻¹ for salinity of 7 psu. Fresher waters, out-flowing from the Vistula lagoon had in morning-time temperatures of about 14°C, and upon the end of the expedition – to 15.5°C, i.e., 1–2°C colder than seawater. Drifters put before the measurements near the lagoon entrance indicated the main transport towards the north-north-west (current speed in the upper layer of about 20 cm s⁻¹); so, in order to possibly avoid the lagoon influence, the measurement polygon was chosen to be southward from the lagoon entrance. Still, data showed a remainder of colder (0.2°C) and fresher (0.2 psu) surface plume, about 3 km long and up to 7–8 m thick, detached already from the shoreline, floating in warmer and saltier sea environment (Figs. 5 and 6).
2.2.2 Measurements

In all, 40 vertical CTD-profiles were performed during morning/day-time of 12 October along the line perpendicular to the shore. Bottom slope along the cross-section is variable: 0.0025 between St. 2 and St. 17 (9 km from the shore), 0.001 between St. 17 and St. 28 (9 km–14.5 km) and 0.0028 further down to the level where thermocline meets the slope. CTD-soundings were performed every 500 m from 4 m to 68 m depth, with total length of the cross-section of about 20 km. The profiles were numbered consequently from the shallowmost profile (number 2) to the deepest (number 35). Profile 1 was taken in the morning in the lagoon, and profiles 36–40 – on-shore in the afternoon. The probe, CTD 90M, provides the data with accuracy of 0.001°C in temperature and 0.001 in salinity. Secchi depth, water colour and meteorological parameters were registered, and water surface phenomena like streaks, foam, floating algae were monitored.

The main cross-section, containing 35 vertical profiles, was performed in offshore direction from 10:00 till 14:00 LT (local time) (i.e., GMT+2 h summer time). In order to register overall result of the day-time cooling, vertical profiles at three stations were repeated on the way back, from 16:15 till 18:00, using GPS in order to position the boat at the same very locations.

2.2.3 Results

Vertical temperature profiles of the morning and evening soundings are presented on Fig. 4, demonstrating a well pronounced differential coastal cooling during the measurement time. Shallow-most profiles (at the depth of 10 m) shows maximum cooling rate in the surface 5 m thick layer – 0.22°C/h−0.028°C/h; over the depth of 21.5 m it amounts to 0.05°C/C/6.5 h−0.008°C/C/h, and over the depth of 39 m – a weak heating is indicated (0.004°C/C/2 h−0.002°C/h), caused by water dynamics described below. From simple heat balance of water column without advection, \( \Delta F = c_p m \Delta T \), for the same heat loss \( \Delta F \), the product of rate of cooling by the local depth \( d_i \) should remain constant. However, comparing two shallow profiles, (0.028°C/h×10 m)>(0.008°C/h×21.5 m), indicating (i) presence of advection and (ii) higher additional advective heat supply to the column at 21.5 m. This supply is provided by a compensating flow, which transports warmer open sea waters on-shore.

Vertical convective overturning over depths of several tens of meters and less works much faster than the cooling-induced horizontal advection over the length of the coastal slope (several tens of minutes versus many hours). For this reason, thermal variations due to variable depth arise faster than the corresponding advection develops - and smoothes them. This way, coastal cooling is formed readily – but is not at all directly connected to the corresponding currents. The arising flows by definition lag the imposed forcing (Farrow, 2004), and are actually driven by the density (not just temperature) gradients, what makes the situation in sea environment much more complicated than in fresh-water lakes. In the performed measurements, such a complication had arisen: the remainder of the Vistula lagoon plume influenced the density field near the shore. Detached already from the coast-line, it carried fresher and colder water. Resulting horizontal cross-shore temperature, salinity and density profiles for the second meter below the water surface are given on Fig. 5. They are obtained by extraction of corresponding portions (second meter under the surface) from individual vertical profiles and averaging over 1 m of the depth. All three profiles have the same shape, indicating a 3-km-long freshened water lens near the shore-line. Without the colder lens, total cross-shore horizontal temperature difference amounted to 16.27–15.76°C=0.53°C/15 km≈0.035°C/km. Salinity decrease towards the shore makes up (again ignoring the lens) \( 7.05–6.97=0.08 \text{ psu}/15 \text{ km}≈0.005 \text{ psu}/\text{ km} \). The resulting density increase towards the shore is as small as \( \Delta \rho/\Delta x \approx 6 \times 10^{-5} \text{ kg m}^{-3}/15 \text{ km}=0.4 \times 10^{-8} \text{ kg m}^{-3}/\text{ m} \).

General structure of temperature, salinity and density fields across the shore-line is presented on Fig. 6. They are plotted in standard program “Surfer” on the base of 35 vertical profiles (locations are marked by long ticks) using “krigging” as a method of interpolation. Surface 50 cm are removed from the profiles.
Seasonal thermo/halo/pycno-cline was found to meet the bottom slope at the depth of about 41 m, with the temperature of the well pronounced upper mixed layer (UML) there of 16.2 °C. However, in the central part of the slope (St. 19/depth 30 m and further down slope, see Figs. 7 and 8), temperature and salinity fields exhibit a 3-layered fine structure. Easily identifiable upper homogeneous layer here has a thickness of mainly 0.52–0.62 \(d\) (up to 0.73 \(d\) once), where \(d\) is the local depth. Below it, a warmer (+0.02–0.04) °C for deeper profiles 25–30, and up to +0.11 °C for St. 19) and saltier (+0.1 psu in the central part to +0.15 psu to profile 30) layer is located, with the thickness of 0.36–0.23 \(d\) (once 0.1 \(d\), St. 25), what in absolute values is 13–7 m (once 3 m). And further below, a 3–8 m-thick layer of colder water is found along the bottom slope, with minimum water temperature of 16.02 °C (Fig. 7). Temperature in this bottom layer is 0.1–0.2 °C lower than that of the UML, and 0.15 °C – up to 0.2 °C (profile 25) lower than that in the middle layer. Salinity of the bottom layer is very close to that of the middle layer; only at the deep-most slope St. 28–30, bottom salinity is up to 0.05 psu less than that in the middle layer.

In the shallow-most part of the section, a 7–8 m thick lens of lighter water is located. Even though it is colder (minimum temperature is 15.62 °C versus 16.16 °C directly below it at the bottom, depth 15.5 m), it is more fresh (minimum salinity 6.83 psu versus 7.11 psu), what results in a stable density stratification with surface-bottom density difference of \(2 \times 10^{-4}\) kg m\(^{-3}\) (for 15.5 m depth). Influence of the Vistula lagoon waters is to be suggested immediately. Lagoon water is typically of a salinity of 3–5 psu only, whilst the open sea waters are at the surface of a 7–7.2 psu. Thus (estimative), to become 6.85 psu saline, the lagoon water of 5 psu should be mixed with \(n=(6.85-5)/(7.1-6.85)=7.4\) volumes of 7.1-psu-marine water. Taking into account rather calm weather conditions, distance from the lagoon entrance (~5 km), general north-north-western transport in coastal zone, indicated by surface drifters, it is logical to consider these waters as already significantly assimilated by the ongoing thermal and dynamic processes in sea coastal zone.

We point this out especially, because a presence of a specific “coastal region” is in fact a characteristic feature
I. Chubarenko and N. Demchenko: Horizontal convection to formation of Baltic Sea cold intermediate layer 291

Fig. 7. Vertical temperature profiles: (a) in the middle part of the slope (St. 16, 19, 20, 21), (b) deep end of the slope (St. 25, 26, 28). Thermocline is at the depth of 41 m. Profiles (a) show gradual cooling towards the shore in the upper layer, colder waters at the bottom and warmer part in-between. Profiles (b) show much thicker cold layer (marked by the dotted circle).

of differential cooling and subsequent horizontal convective processes in various set-ups. Numerical modelling for natural basins indicates (Chubarenko and Palij, 2006; Chubarenko et al., 2007), that in the top-most part of the slope currents tend to be two-dimensional in horizontal plane; further down – the flow is intermittent, and the “slugs” are formed following day/night rhythm; and only after a certain depth – the flow gets a shape of near-bottom layer. In small-scale laboratory modelling, Farrow (2004) suggested, that there is a region where vertical thermal conduction plays the role rather than convection. In our laboratory experiments (CD2008), down-slope gravity current induces a compensating on-shore flow, which meets the bottom slope at a certain depth (about 1/3 of the depth of the convectively mixed layer) and causes an up-slope flow in shallowest area. In sea environment, lower salinity of littoral waters is a common situation, and exactly this point is always an argument why these waters could not ventilate deep sea layers, in contrast to lakes. The presented field data may be considered as a common for the sea environment example of the formation of the coastal region with a specific water dynamics as well. This study also demonstrates the mechanism of mixing which produces waters, cold and saline at the same time.

Joint analysis of the presented field data, our laboratory experiments (Chubarenko et al., 2005), and numerical modelling (Chubarenko et al., 2007) suggest following water circulation in coastal zone (see arrows on Fig. 6). Differential coastal cooling causes off-shore (down-slope) denser water transport. This requires a compensating flow centered at a depth of about 0.3–0.4 \(d\) in laboratory experiments (Chubarenko et al., 2005); of 0.3 \(d\) in numerical modelling, and of 0.32 \(d\) in a fresh-water lake (Fer et al., 2002a). The presented field data suggest that the depth of maximum of the return flow (as can be deduced from CTD profiles) is slightly variable. Profile at the deepest St. 35 evidently shows the UML as homogeneous in temperature, but 2-layered in salinity: the upper half (20 m) is slightly (∼0.03 psu) less saline that underlying 20 m. This suggests that waters of coastal origin moving off-shore in the upper layer are being replaced by open-sea waters in the lower layer. Thus, the centre of the compensating flow may be expected at the depth of 30 m (i.e., 0.75 \(D\); \(D\) is the full depth of the UML in deep part).

Fig. 8. Vertical profile at St. 19: temperature (red line), salinity (blue) and in situ density anomaly (black); the axes have the corresponding colours. Salinity profile has 2-layered structure, whilst temperature profile shows upper mixed layer, warm interior and bottom layer of the temperature lower than that at the surface.

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The described picture of mixing is in accord with on-board observations of water transparency and surface features. Starting from the depths of about 18 m, many medusas appeared, and water transparency abruptly increased from 4.5 m to 6.5 m – indicating transition to sea waters. Even though wind was rather weak (2–3 m s$^{-1}$), foam flakes were observed over a large fraction of the sea surface. In the mixing region (over 21–31 m isobaths), only separated flakes are registered, whilst closer to the shore (14–20 m), regular down-wind rows with about 3–4 m spacing, and farther off-shore (33–40 m), with much larger separation (15–20 m) were observed. In the regions deeper than 40 m, foam flakes were not organized in rows. These observations corroborate that (i) the surface cooling conditions favour the formation of windrows, and that the wind rows, under convective conditions, can appear even under very weak wind; (ii) there was an off-shore flow in upper layer. The onshore return flow in middle layer therefore brings waters to compensate for both the cold slugs and the surface transport. Albeit the lagoon influence and relatively mild autumn cooling, data show that littoral area is “leaking” colder (0.1–0.2 $^\circ$C) water (with practically the same salinity as UML) in a 0.1–0.3 $D$ thick bottom layer.

TS plot derived from all profiles (Fig. 9) suggests that five water masses interact: the most freshened water of lagoon origin (LW), water of the upper mixed layer – with slightly varying temperature due to coastal differential cooling (UML), water of the newly formed intermediate layer (ILW), the remainder of the CIL of the past year and deep Baltic water (DW). The profiles with bottom slugs (St. 25 – in red, and St. 26 – in yellow) in inset of Fig. 9 show bottom waters colder than UML with about the same (and uniform) salinity. Thus, the points showing the slugs’ properties are located at the bend of the TS-diagram, which – with further cooling – can be modified to CIL.

The answer to the question, put forward in the beginning of this section, seems to be as follows. Horizontal water-exchange between open sea and coastal areas, formed due to differential coastal cooling (i.e., due to arising horizontal pressure gradient within water body) takes place mainly in intermediate layers, namely – in lower half of the UML. Most
Table 1. List of main symbols and abbreviations.

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
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<tbody>
<tr>
<td>Tmd</td>
<td>temperature of maximum density (depending on salinity and pressure); 4 °C for fresh water, 2.3–2.7 °C for the Baltic Proper surface water.</td>
</tr>
<tr>
<td>CIL</td>
<td>cold intermediate layer of the Baltic sea</td>
</tr>
<tr>
<td>UML</td>
<td>upper mixed layer</td>
</tr>
<tr>
<td>α</td>
<td>thermal expansion coefficient. α &gt; 0 for temperature above the Tmd, α &lt; 0 for temperature below the Tmd</td>
</tr>
<tr>
<td>B</td>
<td>Surface buoyancy flux</td>
</tr>
<tr>
<td>F</td>
<td>Net surface heat flux</td>
</tr>
<tr>
<td>g</td>
<td>Acceleration due to gravity</td>
</tr>
<tr>
<td>ρ₀</td>
<td>Reference density, 10³ kg m⁻³</td>
</tr>
<tr>
<td>Cₚ</td>
<td>Specific heat of water, 4200 Joule kg⁻¹ °C⁻¹</td>
</tr>
<tr>
<td>d</td>
<td>Local water depth</td>
</tr>
<tr>
<td>D</td>
<td>Full depth of the convectively mixed layer</td>
</tr>
<tr>
<td>ν</td>
<td>Kinematic viscosity of water</td>
</tr>
<tr>
<td>h</td>
<td>Length scale</td>
</tr>
<tr>
<td>κ</td>
<td>Thermal diffusivity</td>
</tr>
<tr>
<td>Raₕ</td>
<td>the flux Rayleigh number</td>
</tr>
<tr>
<td>Pr</td>
<td>Prandtl number</td>
</tr>
<tr>
<td>Gr</td>
<td>Grashof number</td>
</tr>
<tr>
<td>β</td>
<td>Bottom slope</td>
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<tr>
<td>Q</td>
<td>the circulation flow-rate</td>
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Probable, this lowering is the result of the flow adjustment to an existence of freshened coastal wedge waters. Compensating on-shore flow of warmer/saltier sea water meets the slope over the depths of about 0.5–0.7 of the UML-depth and causes a large mixing region, which is the source of relatively cold and salty bottom ‘slugs’. Waters within these ‘slugs’ are no longer the “coastal” ones: they are much warmer and saltier; they are rather “slope waters”, and are represented by their specific cloud at TS-diagram.

3 Spring heating and cross-over the Tmd

3.1 Early-spring cascading

Recent investigations of Hinrichsen et al. (2007) have demonstrated an important feature: the highest (up to 0.8–0.9) annual-mean correlation between the temperatures of the CIL and the surface water is in March (data set of 1950–2005 was analyzed). Note, that the lowest CIL water temperatures are slightly below the lowest surface water temperatures in the same area, what is also statistically proved independently by Russian (HH92 – monthly mean for 30 years) and Swedish data (national atlas “Sea and coast”, p. 60, SMHI; 10-years averaging). Consider general hydrological conditions in the Baltic over late winter – early spring period.

Winter cooling below Tmd leads in the Baltic to a formation of an inverse vertical temperature stratification in upper 10–15 m, which is, however, often easily destroyed by wind mixing down to the seasonal pycnocline at 40–60 m (HH92; SEBS2008). Mean-monthly surface temperature in March is 1.6–2.3 °C in central Baltic (SEBS2008); in field example of Fig. 1 (Gdansk basin) – surface temperature is around 0 °C. Thus, when the surface heat balance becomes positive (by mid-March in the central Baltic; HH92; SEBS2008), surface waters (typically) everywhere in the Baltic are below the Tmd, and remain so until the middle/end of April (in the SW part) and middle of May (NE part). Physically, such conditions are equivalent to autumn cooling process, considered above: the buoyancy flux $B = \frac{gαF}{ρ₀Cₚ}$ into the surface layer is negative for both combinations $α > 0$, $F < 0$ (in autumn) or
I. Chubarenko and N. Demchenko: Horizontal convection to formation of Baltic Sea cold intermediate layer

Fig. 11. Vertical cross-section of simulated temperature and velocity fields for heating from the surface of an initially homogeneous layer (depth 50 m, length 4 km, width 10 km) from \( T < T_{md} \). Pink colour marks the region with temperature of maximum density; blue region is still below, whilst yellow and red one – already above the Tmd. Isotherms are plotted every 0.058 \( ^\circ \)C, and are omitted above 5 \( ^\circ \)C (in the upper-most layer). Velocity vectors show vertical convection due to positive buoyancy flux from above in the entire region with \( T < T_{md} \), including waters below the warm surface jet. The largest velocities are of the order of 2–3 cm/s; no scaling is applied to the vertical component. Large white arrows show mean horizontal transport. Heating is modelled as turbulent heat exchange with warmer air and solar heating, corresponding to spring period at mid latitudes, with day-night variations. The simulation is performed by MIKE3-FlowModel (DHI – Water and Environment).

\( \alpha < 0, F > 0 \) (in spring; for the meaning of symbols see Table 1). Detailed laboratory experiments of a basin with sloping sides under such conditions are described in (CD2008). This way, early spring heating can also trigger comparable cascades. For the Baltic sea conditions, these warm-water cascades (which are warmer than the UML, but still below the Tmd) have 1 to 2 months to develop, until the surface water is heated up to the Tmd. The process of gradual heating has again the same logic: shallow waters heat faster, become denser and flow downslope. Hydro-physical field data, obtained in March in coastal zone, indicate both warm-water (denser) slugs on the sloping bottom and two-three-layered structure (like on Figs. 7–8) of vertical profiles over slopes (cruises of r/v “Professor Stokman”: no. 59, 3–9 March 2004 and no. 67, 2–7 March 2005). Comparative analysis of data of several such spring cruises, presented in Morozov et al. (2007), says that horizontal temperature gradients are typical in March for coastal regions, and more intense heating in shallow areas may in some years generate a front along the shelf break.

Field data on the spring cascades, to the authors’ knowledge, are not yet reported in literature. We may only suggest that the cold bottom intrusions observed in Lake Baikal (Wüst et al., 2005), are formed this way: the authors relate the phenomenon with the spring thermal bar, and point out that “the source of the regularly occurring deep intrusions is clearly cold surface water, but the actual mechanism is uncertain”.

In fact, the very “cascading” (when denser water flows down-slope with a considerable velocities, up to 5–8 cm/s; Fer et al., 2002) is the least manifestation of the convective horizontal exchange. Horizontal convection has not a threshold, and waters start to move how only the smallest horizontal gradients arise. Wind mixing or currents of other origin may overshadow this exchange, but cannot stop it, and the observed cross-shore horizontal temperature differences display the result of heat exchange with both the atmosphere and the sea. For the problem under discussion it is important, that horizontal exchange exists, and cold waters (below the Tmd), being heated in spring time over the Baltic slopes, can be used to trace it.

3.2 Crossing the Tmd

Shallow waters react faster on the change of heating conditions, they reach the Tmd sooner, whilst in deeper area vertical convection still mixes the newly-formed UML. Vertical convection should proceed and the thickness of the UML should increase as long as waters with \( T < T_{md} \) are heated from above. Finally, if a certain water column is taken separately from the others, it should mix over the entire depth at the Tmd (feature known as a “spring overturning” in lakes) – and transfer to direct summer stratification, with the warmest waters on the top. Analysis of general hydro-physical conditions in the Baltic shows the following situation.

In deep part, where in winter the total depth of the inversely stratified layer is about 40 m (Bornholm deep) and 60 m (Gotland deep), the observed period of 1–2 months in spring is not enough to heat waters up to the Tmd. Indeed, the heat required to heat a 60 m thick water column with unit cross-section from
0°C (see Fig. 2) to Tmd~2.5°C (for salinity 7 psu) is 
\[ F = C_p \times \rho \times D \times \Delta T \approx 4200 \times 1000 \times 60 \times 2.5 = 6.3 \times 10^8 \text{ Joule} \text{ m}^{-2}. \] 
This is about four times the heating from the beginning of March to the end of April (crossing the Tmd by surface water in the central Baltic; HH92; SEBS2008). Note, that both the mean-annual data (HH92; SEBS2008) and the instant profiles (Figs. 2 and 10) show that in April, May, June, and some years in July, the surface is heated above the Tmd, whilst below this warm layer there is remnants of waters with temperature below the Tmd. As discussed above, such profile cannot be formed by local heating from the surface. Thus, the rapidly heated water should be advected from shallows (Fig. 11). This advected warm water covers the colder layer, isolating it from the solar heating. From this time on, the isolated cold water patch becomes the CIL.

In order to illustrate the structure of water-exchange, we present here a numerical simulation result (Fig. 11). The flow in an initially homogeneous (at 0°C) fresh basin is simulated by the 3-dimensional baroclinic MIKE3-Flow model (DHI Water and Environment) using the non-hydrostatic engine (for more detail, see Chubarenko and Palij, 2006). The model basin of horizontal dimensions of 80×20 grid points (rectangular mesh 50 m×50 m) had 2.5 km-long (50 meshes) bottom slope of 0.02 and the depth of 50 layers (1 m each). External forcing was homogeneous over the surface, with air temperature of 10°C, modulated by diurnal variations of spring-time solar radiation typical at mid latitudes. Wind forcing was excluded.

On the picture, a numerical instant is shown when the upper layer temperature is above the Tmd, whilst that in the deeper layer is below it, typical of the Baltic in May (see temperature profile in Gotland basin at Fig. 12). The shallow coastal region is vertically stably stratified and the vertical motions are suppressed. Over the rest of the slope, the water is still below the Tmd, thus, experiencing vertical convective mixing due to negative buoyancy flux either directly from the atmosphere or from the warmer advected water. The vertical mixing persists until Tmd is reached, and the horizontal exchange continues until at least some of this water (with \( T < Tmd \)) is still in the region with sloping boundary. Important for further evolution of the CIL is that the warm surface jet, resulting from the horizontal pressure gradient in upper layers, does not change the mixing regime of the water body below it, and \textit{vertical convection continues} until the waters are completely heated above the Tmd.

Advection of warm water from shallows in lakes is often accompanied with the formation of the front (the thermal bar, see, for example, Mortimer, 1971; Shimaraev, 1994; CD2008). In the open Baltic sea area, such fronts were not observed (Lars Umlauf, personal communication, 2008). The reason may be the same as with “classical cascading”: wind action and other currents in marine environment are much stronger, than in lakes, so that the thermal bar front cannot be formed in its common shape. However, the result of such horizontal advection is quite clear (Figs. 2 and 10).
4 Summer-time evolution: intralayer convection

With much lower intensity, the process of denser water generation over slopes should continue even when the CIL is already covered by heated waters: diffusive heat flux from the surface layer becomes the source of destabilizing buoyancy flux now. Physically, it proceeds until at least some fraction of waters with \( T < T_{\text{md}} \) is limited from below by the sloping bottom. Morozov et al. (2007) report field measurements of 52 cruise of r/v “Professor Stokman” (24–29 May 2003), performed in coastal zone near the Curonian spit (from the shore to 78 m depth), where cold water bodies (\( T < T_{\text{md}} \)) are still over slope, well above the level of the CIL in open area.

As long as the CIL comprises water below the Tmd in warm period, vertical temperature profile will cross the Tmd twice (see Fig. 10), thus providing conditions for the sort of thermobaric instability. This kind of instability was shown to be the reason for the formation of 120 m – thick homogeneous layer around meso-thermal maximum in Lake Baikal (Wüst, et al., 2005). In fresh-water Baikal, however, the Tmd varies with depth due to the pressure solely, whilst in the Baltic both pressure and salinity contribute to the Tmd variations with the depth. In the Baltic, at the initial cross of Tmd, heating from above causes mixing of the layer below it (i.e., of the CIL, with its \( \alpha < 0 \)); at the second cross of Tmd, instability arises because of cooling from above of the deeper waters, with \( \alpha > 0 \). Both mixing mechanisms work in the same direction: downward, mixing waters of the CIL itself and entraining deeper layers.

This agrees well with the observed CIL features: from spring to autumn, the axis of the CIL gradually deepens - from 40–50 m to about 60 m in central Baltic (HH92), while its salinity slightly increases. In order to show this more evidently, we plotted TS-diagrams of IOW monitoring of the Gotland basin in year 2006 (see Fig. 12). CIL is well pronounced when it has a temperature below the Tmd (May and July), while in November (when it is above the Tmd) – it loses its distinctive features. Salinity of the coldest water within the CIL increases from 7.53 psu in May to 7.64 psu in July (when it is still below the Tmd), whilst its depth increases from 41 m to 51 m. Thickness of the CIL remained about the same, 40 m, thus the seasonal heating modifies the top of CIL at roughly the same rate as CIL entrains waters from below. With more data, this may be used for estimation of an amount of water entrained from below.

5 Discussion

The above considerations show that there are favorable conditions for both autumn and spring cascading in the Baltic Sea; the question how effective is this exchange still remains open. Considerable efforts have been made to quantify this horizontal water exchange in various conditions. Scale analysis, analytic approach (Farrow, 2004; Sturman et al., 1999) as well as numerical (e.g., Horsh et al., 1994; Farrow, 2004), field (e.g., Fer et al., 2002a, b; Farrow and Patterson, 1993), and laboratory (e.g., Chubarenko et al., 2005) studies show, that for horizontal convection, like for any other convective process, the Rayleigh \( R_{AF} \) numbers (see also List of symbols for meanings and abbreviations) are the main dimensionless parameters of the process, which are included in the expressions for flow velocity, thickness of undercurrent, general flow-rate, etc. (For the sea environment, it is more convenient to use the Rayleigh number, expressed in terms of the buoyancy flux \( B = \frac{g \alpha F h^4}{\rho_0 C_p} \) rather than coefficient of thermal expansion and the heat flux: \( R_{AF} = \frac{B h^4}{\nu \kappa} \)). However, the Rayleigh number contains a length scale (which is the depth of the convective layer, or the length of the slope) to the forth power, thus, it is almost impossible to reproduce sea/lake-scale processes if we investigate them in laboratory. On the other hand, numerical simulations are also difficult – they should use both “proper” grid to reproduce vertical convective cells and “proper” values of water viscosity and conductivity (definitely not the molecular ones). An insensitivity of the flow to buoyancy flux changes and only moderate sensitivity to slope angle (Sturman et al., 1999) was pointed out, so that the dependency on the spatial scale becomes the most significant one. An important feature for field application is that, for the same thickness of the convective layer gentle slopes produce cold, fast but narrow undercurrents, whilst steeper slopes lead to less cold, slower but thicker downflow at comparable flowrate.

Numerical and laboratory experiments (Horsh and Stefan, 1988) showed, that in a “quasi-steady state” the circulation flow-rate \( Q \) is proportional to \( R_{AF}^{1/n} \), where \( 2 < n < 3 \); i.e., taking local depth \( d \) as the length scale, the flow-rate increases with the depth of the domain, \( Q \sim d^{1.33+3} \). The thickness of the underflow \( h' \) is shown to be proportional to the local depth as well: \( h' = 0.43 d \) (numerical result of Horsh and Stefan, 1988). Sturman et al. (1999) have complied results from numerical, laboratory and field (in lakes and side-arms) studies to obtain the expression

\[
Q = 0.24 B^{1/3} \times d^{4/3},
\]

valid for the slope angles \( 0.4 < \beta < 22 \) degrees. In Eq. (1) we have used our notations and the fact, that \( tg \beta \ll 1 \) in our case.

Such formulae give only a rough estimate of the real convective water-exchange and must be confirmed by observations, especially in the sea environment. At the same time, they give salient information about the flow dynamics: (i) maximum flow-rate is at the maximum depth, i.e. at the end of the slope, and (ii) it increases together with the depth of the upper convectively mixed layer. At least these conclusions are fully applicable in marine conditions. In particular, this may explain, why the CIL is thicker in northern Baltic areas: both the buoyancy flux (cooling) is stronger and the UML is thicker there, thus, the slopes become “more productive”.

Estimation of volume and flow-rate paragraph for the situation of expedition. In order to understand whether the horizontal convective exchange is able to produce a considerable for the CIL amount of water, let us make some estimations on the base of the described above expedition. A rough estimation of the volume of the slug between St. 18 and St. 21 as delineated by the isotherm 16.2 °C, is $1.5 \times 10^3$ m$^3$ per unit shore length; total volume of bottom waters colder than 16.2 °C from the St. 18 to the end of slope (where thermocline meets the bottom, between St. 31 and 32) amounts to $15.3 \times 10^3$ m$^3$. Temperature of the UML in deep part of the cross-section is of 16.24–16.27 °C; with the warmest spot at St. 20 up to 16.35 °C (see upper panel of Fig. 6). Minimum water temperature in the slugs was 16.02–16.04 °C (at St. 26–28). Overall, 13 vertical profiles in the area, where the local depth is less than that of the thermocline, indicated colder bottom layer, that covers the distance of ca. 6 km, or 40% of the slope length.

Lack of information about water currents does not allow us to estimate directly the flow-rate and speed of propagation of the slugs. Being supplied by cold thermals from the surface and modified due to entrainment of surrounding warmer fluid, the slugs change their temperature and volume, so that neither the place of their formation nor the time of travel can be calculated. Fer et al. (2002a) have developed an analytical model, where the slug motion is related to its buoyancy excess, inclination of the slope, bottom and surface drag. Assuming the steady state, they obtain the mean down-slope flow $u = \sqrt{g \frac{\Delta \rho}{\rho} \times \frac{2 \sin \beta}{C_D}}$, where $g$ acceleration due to gravity, $\Delta \rho/\rho$ is the relative density difference between the colder water and the environment, $\sin \beta$ is the bottom slope, $h$ is the current thickness and $C_D \sim 4 \times 10^{-3}$ is the drag coefficient (due to interaction with both bottom and overlying fluid). The coefficient was obtained using field data from freshwater lake, with bottom slope of about $\sin \beta \sim 0.02$–0.3 and under surface buoyancy flux of the order $B \sim 10^{-8}$ m$^2$ s$^{-3}$.

When applied to the observed situation, using $\Delta \rho/\rho \sim 10^{-4}$ (Fig. 6, lower panel), bottom slope of 0.002 and thickness of about 3.3 m (the mean thickness of water colder than 16.2 °C at St. 24–31), $u \sim 4$ cm s$^{-1}$, or about $11 \times 10^3$ m$^3$ per day per unit shore length. Fer et al. (2002a) reported 2–5 cm s$^{-1}$ down-slope velocity inferred from 25 slugs in Lake Geneva at the depths of 20–55 m; the flow-rate at the depth of 55 m was of about 10–50 m$^3$ s$^{-1}$, i.e. significantly larger than that calculated for the Baltic slope. Even though the application of the results obtained in fresh-water conditions (both Sturman et al., 1999, and Fer et al., 2002a) is not entirely correct here, however the dependencies are expressed in terms of the density difference, buoyancy flux, length scale, i.e. – are physically applicable for saline water as well; at least, they give a certain scale for the horizontal exchange.

Another possibility to constrain the water-exchange is to apply the boundary-layer scaling, which leads to (Eq. 1). For the situation described above, Eq. 1 yields about $6 \times 10^3$ m$^3$/day per unit shore length. This is an order of magnitude estimate for a “quasi-steady state” flow, and for complicated sea conditions it may give an overestimate of the flow-rate. In addition, the mechanism is very inertial. For a day/night rhythm of heating/cooling, Farrow (2004) showed that the response of the flow lags the pressure-gradient forcing, and the lag depends on horizontal distance $x$ from the shore, so that the flow is complicated and almost never in phase with the forcing. In addition (Farrow, 2004), for the same $x$, the lag is different for different layers: flow near the bottom is the first to respond, the interior inertia-dominated layer responds more slowly. Rough estimate of the time required to reach “steady state” for the given depth has an order of several weeks, but during this period heat-exchange conditions will be obviously changed.

In summary, the horizontal water-exchange of order of units (up to ten) of thousand m$^3$ per day per unit shore length can be taken as a rough estimate. With 7000 m$^2$/day as an estimate of day pumping (from 1 m), we obtain for the geometry of the Gotland basin cross-section (Fig. 1) and for the depth of the UML of 40 m, that about 7 days are required to make up a 1 m-thick layer. The duration of cooling down to the Tmd lasts of about 5 months – from the middle/end of August to the middle of January in the Baltic Proper (HH92; SEBS2008). Thus, horizontal convection (as well as vertical one) over autumn-winter period is significant for the formation of the UML, and the contribution of “littoral pumping” during 1–2 months of early spring heating is significant for the production of the coldest CIL waters.

On the influence of the Earth’s rotation. At the scale of the sea, and for long-lasting seasonal processes, the Earth’s rotation must be taken into account. Conventionally, the process following the onset of the convective forcing can be separated into three different stages (Jacobs and Ivey, 1998): initially, pure convective overturning, then onset of lateral exchange and its adjustment to the Earth’s rotation, and finally, the quasi-steady state. The time scale characterizing the convective overturning is given by the time it takes for a fluid parcel with a typical convective vertical velocity ($B_h d$)$^{1/3}$ to be displaced over the vertical length scale d: $\tau = \left(\frac{d^2}{B_h} \right)^{1/3}$. For the Baltic coastal zones (depths of $d \sim 10$–40 m) and some typical moderate $B \sim 10^{-8}$ m$^2$ s$^{-3}$, it is of order of several tens of minutes, i.e. much shorter than the time scale for rotationally controlled turbulence $\tau_{rot} = 1/f$ (see e.g. Jacobs and Ivey, 1998). Thus, the initial stage of pure convective overturning is not expected to be affected by the rotation. The “slugs” at sea coastal slopes are already driven by the process of the adjustment to the rotation. It is interesting to note that, on one hand, the height of the Ekman boundary layer at the bottom (i.e., the layer, where the littoral wedge is “leaking” downslope with colder waters) depends on the intensity of vertical momentum exchange; on the other hand, for the conditions of vertical mixing over sloping bottom, the intensity of vertical exchange is proportional to the local
depth. This way, the thickness of the Ekman boundary layer (i.e. the thickness of “slugs”) becomes proportional to the local depth.

Further circulation is controlled by the rotation. Under steady conditions, “the thermal wind” is to be formed (Gill, 1982). Thus, in deep part of the basin, a formation of a rim current and eddies, transporting the dense fluid off-shore, is highly probable. The presence of such a rim current around the Gotland deep was described in (Hagen and Feistel, 2007).

6 Conclusions

1. There is no reason to diminish the importance of denser water cascading for cross-shelf exchange in the Baltic Sea: in complicated conditions of brackish basin with vertical and horizontal haline stratification and seasonal cross-over the Tmd it works as well as in any other area of the World Ocean and fresh-water lakes. Since the sea is quite shallow and vertical mixing in winter time reaches the bottom over up to 60% of the entire sea area, this mechanism is producing of developing in deep part (more than 40%) of the sea an intermediate layer of thickness of about 1 m during 1 week (estimative).

2. In contrast to the World Ocean, the conditions for the formation of denser water cascades (negative buoyancy flux through the surface) arise in the brackish Baltic Sea twice a year: during autumn cooling (when water temperature $T$ is above the Tmd) and early spring heating (when $T$ is below the Tmd). The evidence of it is the presence within the CIL of sub-layer with temperature below the Tmd and, at the same time, below local minimum winter-time surface temperature.

3. The presence of cold waters with $T<$Tmd in-between warmer surface and bottom layers during several spring and summer months is a unique feature of the Baltic Sea, never investigated or even discussed. However, such conditions are favourable for intralayer convection, which can explain the observed increase of its salinity and deepening with time from spring towards autumn.

4. Integritally, the considered contributions of horizontal and intralayer convective mixing processes allow explaining the specific features of the Cold Intermediate Layer of the Baltic Sea, which could not be substantiated within the frames of traditional concept of its formation by just vertical wind- and convective mixing. The physics still requires more field evidence, especially concerning physically obvious but not yet reported in field spring cascading. Obviously, horizontal convective water exchange and the specific water dynamics associated with passing the temperature of maximum density is important for the Baltic Sea.

Acknowledgements. The investigations were supported by RFBR, grant No. 07-05-00850, 06-05-64138, and INTAS 06-100014-6508; the expedition – RFBR grant No. 06-05-64168.

The authors sincerely thank V. Tchugaevich for the CTD-measurements in the Bay of Gdansk and R. Feistel for providing the access to IOW measurement data for the Gotland basin. The latter data were obtained in the framework of the IOW long-term observation programme. The German part of Baltic Monitoring Programme (COMBINE) and stations of the German Marine Monitoring Network (MARNET) in the Baltic Sea are conducted by IOW on behalf of Bundesamt für Seeschifffahrt and Hydrographie (BSH), financed by the Bundesministerium für Verkehr, Bau-und Wohnungswesen (BMVWB). We wish to thank two anonymous reviewers for carefully reading the manuscript in its first version, prepared for the Proceedings of the Baltic Sea Science Conference and K. Döös for careful final editing.

Edited by: K. Döös

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